

PATENT - US

Serial No. 08/972,659 Atty. Docket No. 4030B Atty. RFK
Title METHOD FOR PROVIDING ENHANCED PHOTOSYNTHESIS

Receipt of the following is acknowledged by the USPTO on the date stamped hereon.

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|--|--|--|
| <input type="checkbox"/> Patent Appl. | <input type="checkbox"/> Completion of Filing Req. | <input type="checkbox"/> Response to Office Action |
| <input type="checkbox"/> _____ Pgs. Spec & Abstract | <input type="checkbox"/> Petition to Extend _____ Mos. | <input type="checkbox"/> Notice of Appeal |
| <input type="checkbox"/> _____ Pages of Claims | <input type="checkbox"/> Preliminary Amendment | <input type="checkbox"/> Amendment Under 37 CFR _____ |
| <input type="checkbox"/> _____ Sheets of Drawings | <input type="checkbox"/> Petition Under 37 CFR _____ | <input type="checkbox"/> Info. Disclosure, PTO 1449, _____ Refs. |
| <input type="checkbox"/> Formal <input type="checkbox"/> Informal | <input type="checkbox"/> Internat'l Search Report, _____ Refs. | <input type="checkbox"/> Request for Corrected Filing Receipt |
| <input type="checkbox"/> Oath or <input checked="" type="checkbox"/> Declaration | <input type="checkbox"/> Issue Fee Transmittal | <input type="checkbox"/> Maintenance Fee Transmittal |
| <input type="checkbox"/> 37 CFR 1.60 Application | <input type="checkbox"/> Request for Cert. of Corr. | |
| <input type="checkbox"/> Div. <input type="checkbox"/> Cont. | | |
| <input type="checkbox"/> 37 CFR 1.62 FWC Application | | |
| <input checked="" type="checkbox"/> Transmittal Letter | | |
| <input checked="" type="checkbox"/> Certificate of Mailing | | |
| <input checked="" type="checkbox"/> Auth to Charge Dep. Account | | |
| <input type="checkbox"/> Assignment for Recording | | |
| <input type="checkbox"/> Recordation Cover Sheet | | |
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APR - 2 1998

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APR - 2 1998



THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Dennis G. Sekutowski, et al.: DOCKET NO. 4030B

Serial No. 08/972,659 :

FILED: Nov. 18, 1997 :

TITLE: METHOD FOR PROVIDING
ENHANCED PHOTOSYNTHESIS

April 2, 1998

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D. C. 20231

ATTENTION: Application Processing Division

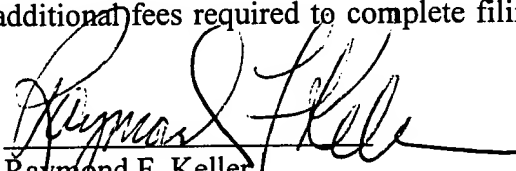
**AUTHORIZATION TO CHARGE FEES - COMPLETION OF FILING
REQUIREMENTS**

1. This replies to the Notice to File Missing Parts dated 03/27/98 a copy of which is attached hereto.
2. An original declaration or oath is enclosed for this application signed by the inventors.
3. Please charge Deposit Account 05-1070 as follows:
Surcharge for the late filing of original

Declaration or Oath

\$130.00

4. Commissioner is authorized to charge any additional fees required to complete filing to Deposit Account 05-1070.

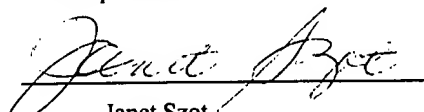

Raymond F. Keller
Reg. No. 28,960

Engelhard Corporation
101 Wood Avenue, P.O. Box 770
Iselin, NJ 08830
Tel. (732) 205-5937

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Date: April 2, 1998


Janet Szot



Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NO./TITLE

08/972,659

11/18/97

GLENN

D

40308

0292/0327

RAYMOND F KELLER
ENGELHARD CORPORATION
101 WOOD AVENUE
P O BOX 770
ISELIN NJ 08830

NOT ASSIGNED

1763

DATE MAILED:

03/27/98

NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.

- ☐ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status. (37 CFR 1.27).

- ☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.

\$ _____ for _____ independent claims over 3.

\$ _____ for _____ dependent claims over 20.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.
☐ does not cover the newly submitted items.
☐ does not identify the application to which it applies.
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
☐ 8. The application does not comply with the Sequence Rules.
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."
☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

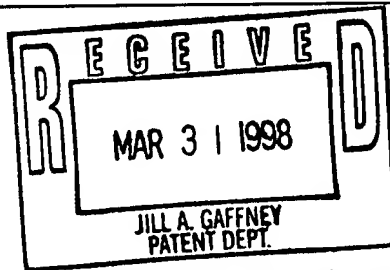
FILING RECEIPT



Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
08/972,659	11/18/97	1763	\$1,060.00	4030B	0	17	2

RAYMOND F KELLER
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ISELIN NJ 08830



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

DAVID MICHAEL GLENN, SHEPHERDSTOWN, WV; DENNIS G.
SEKUTOWSKI, STOCKON, NJ; GARY J. PUTERKA, SHEPHERDSTOWN,
WV.

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A CIP OF 08/812,301 03/05/97

FOREIGN FILING LICENSE GRANTED 03/26/98

TITLE

METHOD FOR PROVIDING ENHANCED PHOTOSYNTHESIS

PRELIMINARY CLASS: 071